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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/973,988					
Filing Date	October 11, 2001					
First Named Inventor	Faries, Jr. et al					
Group Art Unit	3762					
Examiner Name	Bockelman, Mark					
Attorney Docket Number	1322.0046C					

			U.S. PA	TENT DOCUMENTS	
·	Ţ	U.S. Patent Do	ument		Date of Publication of Cited
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY
MB		6,045,648		Palmgren, et al.	04-04-2000
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FOREIGN PATENT DOCUMENTS							
Cite	F	oreign Patent Doc	ument	Name of Britania and Applicant of Cited	Date of Publication		
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Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT

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Application Number 09/973,988

Filing Date October 11, 2001

First Named Inventor Durward I. Faries, Jr.

Group Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number 1322.0046C

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Examiner Initials*	Cite No.	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY
MB_	<u> </u>	6,660,974	· · ·	Faries, Jr. et al.	12-09-2003
	1	6,722,782		Faries, Jr. et al.	04-20-2004
MB		2003/0231990		Faries, Jr. et al.	12-18-2003
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Examiner Signature Date Considered 9-6-04

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

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Application Number	09/973,988				
Filing Date	October 11, 2001				
First Named Inventor	Durward I. Faries, Jr.				
Group Art Unit	Unknown				
Examiner Name	Unknown				
Attorney Docket Number	1322.0046C				

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Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY
MB		6,467,953		Faries, Jr. et al.	10-22-2002
		6,566,631		Faries, Jr. et al.	05-20-2003
mB		2003/0114795		Faries, Jr. et al.	06-19-2003
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Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO 1449 (modified) (_APR 2	8 2003	ATTY DOCKET NO. 1322.004	6C SERIA	L NO. 09/973.989	CEIVED
U.S. DEPARTMENT OF C PATENT AND TRADEMA	OMMERCE RK OFFICE	SEM OF S	APPLICANT : Durward Faries,			PR 3 0 2003
LIST OF REFERENCES CI (Use several sheets if nee	TED BY APPLICANT(S) cessary)	TRAUE	FILING DATE : October 11, 20	001	GROUPTECHNO	DLOGY CENTER R370
U.S. PATENT DOCUMEN	тѕ					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	3,636,767	01/25/72	Duffy			
P	4,187,847	02/12/80	Loeser			
	4,543,095	09/24/85	Jensen			
	5,662,611	09/02/97	Beiser et al.			
	4,329,569	05/11/82	Hjortsberg, et al			
	5,683,381	11/04/97	Carr et al			
	6,236,809	05/22/01	Cassidy et al			
	6,480,257	11/12/02	Cassidy et al			
	4,098,123	07/04/78	Granzow, Jr.			
	3,293,868	12/27/66	Gonzalez			
	2002/0041621	04/11/02				
MB	2002/0156451	10/24/02				
FOREIGN PATENT D	OCUMENTS					
i	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
mB	WO 98/38953	09/11/98	WIPO			
	FR 2,786,057	05/19/00	FRANCE			YES
mB	WO 99/26690	06/03/99	WIPO			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicants

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M	B		2,766,907	10/16/56	Wallace, Jr.					
1			3,629,552	12/21/71	Edging					
			3,640,277	02/07/72	Adelberg					
			3,895,741	07/22/75	Nugent					
			4,167,663	09/11/79	Granzow, Jr. et al				-:	
			4,293,762	10/06/81	Ogawa		\perp		37	F. R.
-			4,356,383	10/26/82	Dahlberg	·			00 M.	CE V
			4,430,078	02/07/84	Sprague				אור ו	YEI 200
			4,614,514	09/30/86	Carr et al				M00%	
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M	B		GB2029677A	03/19/80	U.K.					
	1		WO 99/22786	05/14/99	WIPO			<u> </u>		
M	B		WO 99/58177	11/18/99	WIPO ·					
OTHER DO	OCUMENT(S) (Includii	ng Author, Title, Date, Per	tinent Pages, Etc.))					
	1B				Vol. 25, No. 10, October 1996					
				Minco Products,	Inc., Bulletin CTI98, 1996					
	T		·	Eurotherm Contr	ols, Inc., Model 2116 Temperature Co.	ntroller, 1997	•			
				Ellenwood, Drop	Detector, IBM Technical Bulletin, Vol.	12. No. 5, October	r 1969			
	nB			CBi Medical, Inc	., IV Fluid Warmer Model 8362, 1992					
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FORM PTO 144	19 (modified))	011	L 10,38	ATTY DOCKET NO. 1322.0046C	SER	IAL NO. 09/973,988	
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ML	5_		4,680,445	07/14/87	Ogawa			
•			4,705,505	11/10/87	Fried			
			4,531,941	07/30/85	Zasuwa			
			4,613,327	09/23/86	Tegrarian et al		3700	RE
			4,626,243	12/02/86	Singh et al		7) -
			4,709,135	11/24/87	Dietrich et al		L RO)ED
	T^{-}		4,759,749	07/26/88	Verkaart		MOC	
			4,747,826	05/31/88	Sassano			
			4,781,548	11/01/88	Alderson et al			
M	16	5	4,772,778	09/20/88	Ogawa	<u> </u>		
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U.S. DEPART PATENT AND	TRADEMA	RK OFFIC	E /		APPLICANT : Durward Faries,	Jr., et al.			
LIST OF REFI (Use several s	ERENCES (sheets if nec	CITED BY A essary)	APPLICANT(S) FEB 0	1 2015 A	FILING DATE : October 11, 200	1		GROUP	
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M	B		4,847,470	07/11/89	Bakke				
			4,874,359	10/17/89	White et al				
			4,878,537	11/07/89	Verkaart				
			4,900,308	02/13/90	Verkaart				·
			5,019,047	05/28/91	Kriesel		· .	:	,
			5,061,630	10/29/91	Knoopf et al			3	- TI
			5,063,994	11/12/91	Verkaart			00 7	-
			5,075,167	12/24/91	Yamauchi et al			AIL	= IVF
			5,096,822	03/17/92	Rosenkrans, Jr. et al		<u> </u>	ROOM	.D
M	0		5,097,898	03/24/92	Verkaart			,	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.s

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FORM PTO 144	9 (modified)	(01)	PEV	ATTY DOCKET	NO. 1322.0046C	SE	RIAL NO. 09/	973,988	
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*EXAMIN	ER		DOCUMENT NUMBER	DATE	NAME	·	CLASS	SUBCL	ASS	FILING DATE IF APPROPRIATE
M	6		5,180,896	01/19/93	Gibby et al				·	
			5,205,820	08/27/93	Kriesel					
			5,232,439	08/03/93	Campbell et al			,		·
			5,279,558	01/18/94	Kriesel				<u>اة</u> _	
			5,408,577	04/18/95	Weber, Jr. et a	al			376	RIC .
			5,330,431	07/19/94	Herskowitz				0 :	CEIVE
			5,342,313	08/30/94	Campbell et al				1 20	VE
			5,318,540	06/07/94	Athayde et al				, 100 ,	
			5,348,539	09/20/94	Herskowitz					
M	B		5,399,166	03/21/95	Laing				<u> </u>	
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FORM PTO 144	9 (modified))	OIF	Every	ATTY DOCKET NO. 1322.0046	SEF	RIAL NO. 09/973,988	
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m	0		5,411,482	05/02/95	Campbell			
			5,423,759	06/13/95	Campbell			
			5,681,284	10/28/97	Herskowitz			
			5,733,263	03/31/98	Wheatman			
- 0 1 10			5,514,095	05/07/96	Brightbill et al		b	
			5,338,157	08/16/94	Blomquist		370	RE
			4,490,884	01/01/85	Vickers			CEIN
			5,512,043	04/30/96	Verkaart		2002 JL Ra	/ED
			5,538,399	07/23/96	Johnson		R 00M	
M	0		5,540,561	07/30/96	Johnson			
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Sheet <u>6</u> of <u>2</u>	0							
FORM PTO 144	9 (modified)	6	PE VOIS	ATTY DOCKET NO. 1322.0046C	SERI	AL NO. 09/973,988	
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M	0		5,567,119	10/22/96	Johnson			
			5,567,136	10/22/96	Johnson			
			5,647,854	07/15/97	Olsen et al			·
			5,658,250	08/19/97	Blomquist et al			
_			5,658,252	08/19/97	Johnson) C 3	
			5,669,877	09/23/97	Blomquist		700	
			5,695,473	12/09/97	Olsen		MAII	NEIN
			5,707,431	01/13/98	Verkaart et al		7002 Red	ED
	1		5,772,409	06/30/98	Johnson		3	
M	<u>B</u>		5,788,669	08/04/98	Peterson			
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mt	5		5,788,671	08/04/98	Johnson	`				
			5,810,771	09/22/98	Blomquist					
			5,823,746	10/20/98	Johnson					
			5,879,143	03/09/99	Cote et al					
			5,531,697	07/02/96	Oisen et al			1	7.	
			5,531,698	07/02/96	Olsen				3700	REC
:			5,485,408	01/16/96	Blomquist				M ↑	5 E
			5,389,078	02/14/95	Zalesky et al				2002 1 RC	ED
			6,096,007	08/01/00	Haan et al				M	
MI	3		5,649,910	07/22/97	Kriesel et al		<u> </u>			;
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M	3		5,891,096	04/06/99	Hyun et al							
1			5,893,843	04/13/99	Rodrigues				i			
			5,961,492	10/05/99	Kriesel et al							
			6,174,300	01/16/01	Kriesel et al							
			5,824,000	10/20/98	Pavlo et al			1	Č			
			RE 35,501	05/06/97	Ross et al			_			REC	_
			5,308,335	05/03/94	Ross et al				73.2	- 1	<u> </u>	_
			5,433,704	07/18/95	Ross et al	 	•	1	, z) j	ED	
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FORM PTO I	449 (modified)	OIPE	- VC/36	ATTY DOCKET	NO. 1322.0048C		SERIA	L NO. 09/9	73,988	
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1	13		5,879,329	03/09/99	Ginsburg)		
			5,989,238	11/23/99	Ginsburg						
			5,492,534	02/20/96	Athayde et al	`	·				
			6,062,429	05/16/00	West et al						
			6,175,688	01/16/01	Cassidy et al					7.C ₩	
			5,474,538	12/12/95	Stihler et al					REC	
			5,683,381	11/04/97	Carr et al					7 7	
			5,690,614	11/25/97	Carr et al				7	2002	ED
			5,919,218	07/06/99	Carr						
n	13		6,139,528	10/31/00	Kistner et al	•					
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p	15		6,142,974	11/07/00	Kristher et al						
	1		6,146,359	11/14/00	Carr et al						
_			5,713,864	02/03/98	Verkaart						
			5,807,332	09/15/98	Augustine et al						
	• .		6,261,261	07/17/01	Gordon					ω <u>π</u>	7
			5,876,370	03/02/99	Blomquist					00 m	CE
			5,928,196	07/27/99	Johnson et al					2 2002	IVE
			5,935,099	08/10/99	Peterson et al	·				ROOM	
			5,935,106	08/1088	Olsen						· .
M	<u>B</u> _		5,954,485	09/21/99	Johnson et al					_\	
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FORM PTO 144			611	DE VS	ATTY DOCKET NO. 1322.0046C	SI	ERIAL NO. 09/973,9	88
U.S. DEPARTO	TRADEMA	RK OFFICI	E /	7 700	APPLICANT: Durward Faries, Jr., 6	at al.		
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M	5,961,700 10/05/99				Oliver			
			6,024,539	02/15/00	Blomquist			
			6,035,102	03/07/00	Bakke			
			5,169,389	12/08/92	Kriesel			
			5,411,480	05/02/95	Kriesel		C 37	70
			5,564,915	10/15/96	Johnson	·	3700 r AIL	EB I
			3,526,134	09/01/70	Schaus			B 12 2002
			5,829,880	11/03/98	Diedrich		R0011)) D
			4,138,890	02/13/79	Brown			
p	10		3,845,661	11/05/74	Hollweck et al			
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FORM PTO 1449 (modified)			_	ATTY DOCKET NO.	1322.0046C		SERIAL NO	0. 09/973,98	8	
U.S. DEPARTMEN PATENT AND TRA	DEMARK (OFFICE	CANT(S)	W 35139	APPLICANT: Durward Faries, Jr., et al.						
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U.S. PATENT DOC	UMENTS		TRANS	EMARKO?							
*EXAMINE INITIAL			DOCUMENT NUMBER	DATE	NAME		CLASS		SUBCLASS		FILING DATE IF APPROPRIATE
MB		522,866	07/10/1894	Weinhagen et a	ıł.						
			558,979	04/28/1896	Noble			ļ			
			675,647	06/04/1901	Andersen et al.		_	<u> </u>			
			785,524	03/21/1905	Shea			1_			
	·		803,352	10/31/1905	Meyer				7 C	-	
•			1,062,111	05/20/1913	Nylander				370b	FEB	RE
			1,092,643	04/07/1914	Goolsby					772	CE
			1,110,919	09/15/1914	Gamble				MAIL ROOM	2002	VED
			1,223,274	04/17/1917	Hallock				₩		
			1,390,500	09/13/1921	Christian						
			1,493,450	05/06/1924	Richardson						
M	<u>.</u>		1,726,212	08/27/1929	Bucky			1_			
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FORM PTO 1449 (r	nodified)		OIPE	10,000	ATTY DOCKET NO. 1322.0046C		SERIAL NO	D. 09/973 , 98	8	
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mB		1,770,832	07/15/1930	Bass				·		
-1			1,794,215	02/24/1931	Titus					
			1,838,026	12/22/1931	Williams					
			1,847,954	03/01/1932	Fisher					
			1,960,417	05/29/1934	Pain, Jr.					
			1,982,213	11/27/1934	Hopkins		i 	ह		
			1,987,119	01/08/1935	Long			700	FEB) Jak
			1,995,302	03/26/1935	Goldstein	·		MAIL	2	EIV
			2,063,902	12/15/1936	Beasley			ROOM	002	
			2,087,586	07/20/1937	Tishman			3		
			2,124,293	07/19/1938	Goldstein					
mC			2,204,764	06/18/1940	Мауо					
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M	B		2,254,994	09/02/1941	Butland						
			2,470,481	05/17/1949	Freeman						
			2,910,981	11/03/1959	Wilson et al.	ļ	1				
			3,140,716	07/14/1964	Harrison et al.		Í	3	<u> </u>	70°	Ì.
			3,247,851	04/26/1966	Seibert	ļ ·		8	EB 12	G	1
	,		3,370,153	02/20/1968	Ou Fresne et al.			\$ 7	2002	M -	•
	3,475,590		3,475,590	10/28/1969	Pins			200 ₩	2		
			3,485,245	12/23/1969	Lahr et al.		<u> </u>				
			3,551,641	12/29/1970	Truhan						
			3,590,215	06/29/1971	Anderson et al.						
			3,612,059	10/12/1971	Ersek					-	
ŕ	10		3,614,385	10/19/1971	Horstmann						
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MB			3,651,695	03/28/1972	Brown	1				
		-	3,908,652	09/30/1975	Weissinger					
			4,038,519	07/26/1977	Foucras					
	,		4,090,514	05/23/1978	Hinck et al.					
			4,309,592	01/05/1982	Le Boeuf			3.1		
			4,375,813	03/08/1983	Hessel			3700		70 CD
			4,384,578	05/24/1983	Winkler			MΑ	3	
			4,464,563	08/07/1984	Jewett			L RO		
			4,495,402	01/22/1985	Burdick et al.			<u>S</u>		
			4,523,078	06/11/1985	Lehmann					
	_		4,532,414	07/30/1985	Shah et al.		<u> </u>		\prod	
mp	2		4,551,136	11/05/1985	Mandi					
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ME)	4,651,813		03/24/1987	Witt et al.				,		
			4,657,004	04/14/1987	Coffey						
			4,678,460	07/07/1987	Rosner						
			4,682,979	07/28/1987	Girouard		,				
			4,707,587	11/17/1987	Greenblatt						
			4,735,609	04/05/1988	Comeau et al.		-1	T			
			4,745,248	05/17/1988	Hayes			37	F	70,	
			4,756,299	07/12/1988	Podella			טט אאור	EB 12	303	
			4,801,777	01/31/1989	Auerbach				2002	IVE	
.			4,844,074	07/04/1989	Kurucz	:		ROOM	2	J	
			4,859,360	08/22/1989	Suzuki et al.						
ML	5	,	4,874,033	10/17/1989	Chatelain et al.				_		
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W	MS 4,906,816		4,906,816	03/06/1990	van Leerdam							
			4,934,336	06/19/1990	White							
			5,013,889	05/07/1991	Bakke							
			5,042,455	08/27/1991	Yue et al.	<u> </u>						
			5,059,182	1,0/22/1991	Laing		1					
			5,061,241	10/29/1991	Stephens, Jr., et al.		37/10	RE				
			5,081,697	01/14/1992	Manella		7 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6					
			5,106,373	04/21/1992	Augustine et al.		F)ED				
			5,108,372	04/28/1992	Swenson		MOO					
			5,125,069	06/23/1992	O'Boyle							
			5,125,900	06/30/1992	Teves							
N	13		5,129,033	07/07/1992	Ferrara et al.							
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M	MS		5,184,613	02/09/1993	Mintz					
P			5,195,976	03/23/1993	Swenson					
			5,211,631	05/18/1993	Sheaff					
			5,241,951	09/07/1993	Mason et al.	'		:		
			5,243,833	09/14/1993	Coelho et al.					
			5,245,693	09/14/1993	Ford et al.	·	ic u	70		
			5,250,032	10/05/1993	Carter, Jr., et al.		100			
			5,254,094	10/19/1993	Starkey et al.		HAIL	NED NED		
			5,261,411	11/16/1993	Hughes		ROOM	g O		
			5,261,875	11/16/1993	Spears et al.					
			5,263,929	11/23/1993	Falcone et al.					
W	18		5,279,598	01/18/1994	Sheaff					
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M	MB 5.2		5,282,264	01/25/1994	Reeves et al.						
			5,290,230	03/01/1994	Ainsworth et al.						
·			5,297,234	03/22/1994	Harms et al.						
			5,364,385	11/15/1994	Harms et al.		E,	75			
			5,370,674	12/06/1994	Farrell			37	丑	器 ′	
	<u> </u>		5,381,510	01/10/1995	Ford et al.			00 M/	8 12	CEN	
			5,397,875	03/14/1995	Bechtold, Jr.			MAIL	200	VED .	
			5,408,576	04/18/1995	Bishop			3007			
			5,417,274	05/23/1995	Verkaart						
			5,420,962	05/30/1995	Bakke						
			5,451,209	09/19/1995	Ainsworth et al.						
M	3		5,729,653	03/17/1998	Magliochetti et al.		<u> </u>)		
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m	ms		5,755,275	05/26/1998	Rose et al.						
			5,806,528	09/15/1998	Magliochetti						
			4,804,367	02/14/1989	Smith et al.		\perp				
			4,808,159	02/28/1989	Wilson			,			
			6,254,572	07/03/2001	Knipfer et al		<u> </u>			·	
			6,221,045	04/24/2001	Duchon et al			1.C 3.1		77	
			6,129,702	10/10/2000	Woias et al		<u> </u>	700	FEB)	REC	
			5,263,323	11/23/1993	Maus et al			MAIL	2 7	EIVE	
			5,073,167	12/17/1991	Carr et al		\bot	ROOM	002	<u> </u>	
		,	4,832,689	05/23/1989	Mauerer et al		_	3			
			4,684,367	08/04/1987	Schaffer et al		_}				
ml	3		5,840,068	11/24/1998	Cartledge		\perp				
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